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CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS

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FAIR POLITICAL  
PRACTICES COMMISSION

COVER PAGE

EB

MAR 1 2012

BY:

B JV

Please type or print in ink.

NAME OF FILER

2012 MAR -1 PM 4: 22

(FIRST)

(MIDDLE)

Buchanan

Joan

1. Office, Agency, or Court

Agency Name

California State Legislature

Division, Board, Department, District, if applicable

Assembly District 15

Your Position

Member of the Assembly

► If filing for multiple positions, list below or on an attachment.

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County \_\_\_\_\_

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2011, through December 31, 2011.

☐ Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
(Check one)

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_\_, through December 31, 2011.

☐ The period covered is January 1, 2011, through the date of leaving office.

☐ Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
Office assumed, if different than Part 1: \_\_\_\_\_

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_\_, through the date of leaving office.

☐ Candidate: Election Year \_\_\_\_\_ Office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 7

☒ Schedule A-1 - Investments - schedule attached

☒ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5.

I have used all reasonable diligence in preparing this statement. I have reviewed this herein and in any attached schedules is true and complete. I acknowledge this is a

I certify under penalty of perjury under the laws of the State of California that

Date Signed March 1, 2012  
(month, day, year)

Signature

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Buchanan

▶ NAME OF BUSINESS ENTITY  
AON Corporation

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Insurance Broker

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☒ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11 ACQUIRED \_\_\_\_\_/\_\_\_\_/11 DISPOSED

▶ NAME OF BUSINESS ENTITY  
Bank of America

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Banking

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11 ACQUIRED \_\_\_\_\_/\_\_\_\_/11 DISPOSED

▶ NAME OF BUSINESS ENTITY  
JP Morgan Chase

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Banking

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11 ACQUIRED \_\_\_\_\_/\_\_\_\_/11 DISPOSED

▶ NAME OF BUSINESS ENTITY  
Motorola

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Electronics

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11 ACQUIRED \_\_\_\_\_/\_\_\_\_/11 DISPOSED

▶ NAME OF BUSINESS ENTITY  
Halo Fund

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Venture Capital

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock ☒ Other Limited Partner (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11 ACQUIRED \_\_\_\_\_/\_\_\_\_/11 DISPOSED

▶ NAME OF BUSINESS ENTITY  
Blackrock Global

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Venture Capital

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock ☒ Other Limited Partner (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11 ACQUIRED \_\_\_\_\_/\_\_\_\_/11 DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Buchanan

## ▶ NAME OF BUSINESS ENTITY

First Eagle

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mutual Fund

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE C**  
**Income, Loans, & Business**  
**Positions**  
(Other than Gifts and Travel Payments)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name Joan Buchanan

► 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

Halo Fund

ADDRESS (Business Address Acceptable)

2458 Embarcadero Way, Palo Alto, CA 94303

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Venture Capital

YOUR BUSINESS POSITION

Limited Partner

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000      ☒ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's or registered domestic partner's income

☐ Loan repayment      ☒ Partnership

☐ Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)

☐ Commission or      ☐ Rental Income, list each source of \$10,000 or more

☐ Other \_\_\_\_\_  
(Describe)

► 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000      ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's or registered domestic partner's income

☐ Loan repayment      ☐ Partnership

☐ Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)

☐ Commission or      ☐ Rental Income, list each source of \$10,000 or more

☐ Other \_\_\_\_\_  
(Describe)

► 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000  
☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000  
☐ OVER \$100,000

INTEREST RATE

\_\_\_\_\_%      ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None      ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address

City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_

**Schedule D  
Income - Gifts**

**CALIFORNIA FORM**

**700**

FAIR POLITICAL PRACTICES COMMISSION

**Name**

Assemblymember Joan Buchanan

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NAME OF SOURCE	ADDRESS OF SOURCE (Business Address Acceptable)	ZIP CODE	BUSINESS ACTIVITY, IF ANY, OF SOURCE	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
California Cable and Telecommunications Association	1001 K Street, Sacramento, CA	95814	Trade Association	01/11/11	\$10.47	Reception
California Tribal Business Alliance	1350 J Street Suite 400, Sacramento, CA	95814	Trade Association	01/11/11	\$37.52	Reception
California Charter Schools Association	1107 9th Street #20, Sacramento, CA	95814	Charter Schools Association	01/18/11	\$45.38	Reception
IBM Corporation	2600 Capitol Avenue #300, Sacramento, CA	95816	Consumer Corporation	02/14/11	\$21.35	Reception/product demonstration
Chukchansi Economic Development Authority	46575 Road 417, Coarsegold, CA	93614	Political Organization	01/11/11	\$10.47	Reception
California Cable and Telecommunications Association	1001 K Street, Sacramento, CA	95814	Trade Association	01/11/11	\$2.10	Reception
Building Owners and Managers Association of California	1121 L Street #809, Sacramento, CA	95814	Trade Association	01/11/11	\$7.82	Reception
Time Warner Cable	901 F Street NW #800, Washington D.C.	20004	Corporation	01/13/11	\$2.10	Reception
AT&T, Inc.	2600 Capitol Avenue #300, Sacramento, CA	95816	Corporation	01/13/11	\$2.10	Reception
Anthem Blue Cross	1121 L Street #500, Sacramento, CA	95819	Corporation	01/13/11	\$2.10	Reception
Personal Insurance Federation of California	1201 K Street #1220, Sacramento, CA	95814	Trade Association	01/13/11	\$10.00	Reception
Southern California Edison	2244 Walnut Grove Ave, Rosemead, CA	91770	Utility Company	01/13/11	\$2.10	Reception
Pacific Gas & Electric	1415 L Street #280, Sacramento, CA	95814	Utility Company	01/13/11	\$2.10	Reception
California Teachers Association	1118 10th Street, Sacramento, CA	95814	Trade Association	01/18/11	\$31.94	Reception
Microsoft Corporation	One Microsoft Way, Redmond, WA	98052	Corporation	01/27/11	\$110	Executive Briefing
California Issues Forum	1717 I Street, Sacramento, CA	95811	Nonprofit Corporation	01/31/11	\$92	Dinner
Service Employees International Union	1808 14th Street, Sacramento, CA	95811	Labor Union	02/02/11	\$47.45	Reception
American Council of Engineering Companies	1303 J Street, Sacramento, CA	95814	Trade Association	02/01/11	\$30.79	Reception
Deloitte Consulting LLP	1415 L Street #1200, Sacramento, CA	95814	Corporation	02/07/11	\$37.70	Reception & briefing
California Democratic Party	1401 21st Street #200, Sacramento, CA	95811	Political Organization	02/08/11	\$117.09	Dinner

**Schedule D  
Income - Gifts**

**CALIFORNIA FORM**

**700**

FAIR POLITICAL PRACTICES COMMISSION

**Name**

Assemblymember Joan Buchanan

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NAME OF SOURCE	ADDRESS OF SOURCE (Business Address Acceptable)	ZIP CODE	BUSINESS ACTIVITY, IF ANY, OF SOURCE	DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
Speaker John Perez	777 S. Figueroa St #4050, Los Angeles, CA	90017	Political Organization	02/09/11	\$84.30	Jacket
Speaker John Perez	777 S. Figueroa St #4050, Los Angeles, CA	90017	Political Organization	02/08/11	\$10	Reception
Tech America	1107 9th Street #850, Sacramento, CA	95814	Trade Association	02/14/11	\$81.71	Dinner & panel discussion
Cal Chamber	1215 K Street #1400, Sacramento, CA	95814	Business Organization	03/09/11	\$43.94	Lunch
California Foundation on the Environment and the Economy	Pier 35 #202, San Francisco, CA	94133	Foundation	03/17/11-03/18/11	\$410.16	Conference lodging & hosted meals
California New Car Dealers Association	1415 L Street #700, Sacramento, CA	95814	Trade Association	03/29/11	\$107.52	Dinner
Cal Chamber	1215 K Street #1400, Sacramento, CA	95814	Business Organization	04/14/11	\$48.69	Lunch
California Building Industry Association	1215 K Street #1200, Sacramento, CA	95814	Trade Association	04/26/11	\$101.62	Legislative dinner
California Cable and Telecommunications Association	1001 K Street, Sacramento, CA	95814	Trade Association	05/02/11	\$441	Reception
California Automatic Vendors Council	80 S. Lake Ave #538, Pasadena, CA	91101	Trade Association	05/10/11		\$20 Food product samples
Technology Association of America	601 Pennsylvania Ave #600, Washington D.C.	20004	Trade Association	06/06/11	\$32.41	Reception
California Civil Justice Association	1201 K Street #1850, Sacramento, CA	95814	Trade Association	04/04/11	\$48.65	Reception
Cal Chamber	1201 K Street #1400, Sacramento, CA	95814	Business Organization	06/02/11	\$19.43	Breakfast
Toy Industry Association	1115 Broadway #400, New York, NY	10010	Trade Association	06/08/11	\$2.00	Gift bag
East Bay Municipal Utility District	375 11th Street, Oakland, CA	94607	Utility Company	07/12/11	\$15.18	Reception
California Issues Forum	1717 I Street, Sacramento, CA	95811	Nonprofit Corporation	08/18/11-08/19/11	\$95	Panel discussion & meals
California Issues Forum	1717 I Street, Sacramento, CA	95811	Nonprofit Corporation	08/22/011	\$95	Panel discussion & meals
California Foundation on the Environment and the Economy	Pier 35 #202, San Francisco, CA	94133	Foundation	10/9/11-10/11/11	\$891.71	Conference lodging & hosted meals
Microsoft Corporation	One Microsoft Way, Redmond, WA	98052	Corporation	11/09/11	\$40.61	Reception
California Foundation on the Environment and the Economy	Pier 35 #202, San Francisco, CA	94133	Foundation	12/09/11-12/09/12	\$419.22	Conference lodging & hosted meals
California Issues Forum	1717 I Street, Sacramento, CA	95811	Nonprofit Corporation	12/12/11-12/14/11	\$909.40	Travel, lodging, & meals/speech & panel discussion

**<BLUE> is a required field**

Name

Assemblymember Joan Buchanan

[illegible]